

# PREMIER FERRO ALLOYS & SECURITIES LTD.

CIN : L27310WB1977PLC031117

## Regd. Office :

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Date: October 13, 2016

The Secretary  
The Calcutta Stock Exchange Limited  
Listing Department  
7, Lyons Range  
Kolkata - 700 001

Dear Sir,

Sub: Submission of Shareholding Pattern for the quarter ended September, 2016 as per Regulation 31(1) of SEBI LODR, Regulations 2015

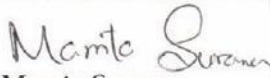
Ref: Scrip Code: 26040 (CSE), 9400 (DSE)

Pursuant to Regulation 31(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith a certified true copy of the Shareholding Pattern for the quarter ended 30<sup>th</sup> September 2016 in the specified format.

We hope you shall find the same in order.

Thanking you,

Yours faithfully,  
For Premier Ferro Alloys & Securities Limited

  
Mamta Surana  
Company Secretary

Encl: As Above

C.C. :  
The Secretary  
Delhi Stock Exchange Limited  
DSE House  
3/1, Asaf Ali Road,  
New Delhi - 110 002

**Format of holding of specified securities**

1. Name of Listed Entity: PREMIER FERRO ALLOYS & SECURITIES LIMITED
2. Scrip Code - Name of Scrip -, Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31 (1)(b) then indicate the report for Quarter ending
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration :** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



PREMIER FERRO ALLOYS & SECURITIES LIMITED

Table I - Summary Statement holding of specified securities as on 30/09/2016

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of underlying Depository Receipts (VI)	Total no. of shares held (VII) = (IV)+(V)+(VI)	Shareholding % of total no. of shares (calculated as per SCRR, 1957) (VIII)	As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
									Class eg:x	Class Total eg:y	Total as a % of (A+B+C)							
(A)	Promoter & Promoter group	29	211820	0	0	211820	19.1589	0	211820	0	0.0000	0	0.0000	0	0.0000	0	0	
(B)	Public	47	893776	0	0	893776	80.8411	0	893776	0	0.0000	0	0.0000	0	0.0000	0	0	
(C)	Non Promoter - Non Public														NA			
(C1)	Shares underlying DRs														NA			
(C2)	Shares held by Employee Trusts														NA			
	Total	76	1105596	0	0	1105596	100.0000	0	1105596	0	1105596	100.0000	0	100.0000	0	0	0	0





**PREMIER FERRO ALLOYS & SECURITIES LIMITED**

**Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2016**

(1) (a)	Category & Name of the Shareholders (i)	PAN (ii)	No. of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	No. of shares held (vi)	Total no. of shares held (vii)=(v)+(vi)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C 2) (viii)	Number of Voting Rights held in each class of securities (ix)			No of shares underlying outstanding convertible securities (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of A+B+C 2	Number of Locked in shares (xii)	Number of shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialized form (xiv)				
									Class x	Class y	Total						No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)
	Indian		27	200820	0	0	200820	18.1640	0	189320	18.1640	0	18.1640	0	0.0000	0				
	Individuals/Hindu undivided Family																			
	M.L. AGARWAL	ACYPA9056J	1	10	0	0	10	0.0009	10	0	10	0.0009	0	0.0009	0	0.0000				
	KUSUM AGARWAL	ACMPA5029R	1	10	0	0	10	0.0009	10	0	10	0.0009	0	0.0009	0	0.0000				
	H.V. AGARWAL	ADBPA0877F	1	10	0	0	10	0.0009	10	0	10	0.0009	0	0.0009	0	0.0000				
	PRITI SUREKA	ALBP55796H	1	10	0	0	10	0.0009	10	0	10	0.0009	0	0.0009	0	0.0000				
	BAJRANG LAL AGARWAL	AFPPA7682E	1	100	0	0	100	0.0090	100	0	100	0.0090	0	0.0090	0	0.0000				
	DWARAKA DAS AGARWAL		1	10	0	0	10	0.0009	10	0	10	0.0009	0	0.0009	0	0.0000				
	R. S. AGARWAL	AGBPA2227J	1	110	0	0	110	0.0099	110	0	110	0.0099	0	0.0099	0	0.0000				
	SHANTI DEVI AGARWAL	ADAPA1967D	1	100	0	0	100	0.0090	100	0	100	0.0090	0	0.0090	0	0.0000				
	USHA AGARWAL	ADAPA8046K	1	100	0	0	100	0.0090	100	0	100	0.0090	0	0.0090	0	0.0000				
	SAVITRI DEVI AGARWAL	ADAPA8001G	1	6590	0	0	6590	0.5961	6590	0	6590	0.5961	0	0.5961	0	0.0000				
	SANGITA AGARWAL	ACZPA8234D	1	30100	0	0	30100	2.7225	30100	0	30100	2.7225	0	2.7225	0	0.0000				
	RICHA AGARWAL	AABPG0814F	1	110	0	0	110	0.0099	110	0	110	0.0099	0	0.0099	0	0.0000				
	SMRITI AGARWAL	ADKPG1215G	1	10	0	0	10	0.0009	10	0	10	0.0009	0	0.0009	0	0.0000				
	MOHAN GOENKA	ADZPG7448G	1	10	0	0	10	0.0009	10	0	10	0.0009	0	0.0009	0	0.0000				
	AMITABH GOENKA	ADCPG1933D	1	35010	0	0	35010	3.1666	35010	0	35010	3.1666	0	3.1666	0	0.0000				
	MANISH GOENKA	ADXP35988B	1	10	0	0	10	0.0009	10	0	10	0.0009	0	0.0009	0	0.0000				



PREMIER FERRO ALLOYS & SECURITIES LIMITED														
Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2016														
Category & Name of the Shareholders (I)	PAN (II)	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Total no. of shares held (VI) = (V) + (VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C 2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares underlying outstanding and convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C 2	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights							No. As a % of total shares held (b)
							Class	Class	Total					
INDU GOENKA	ADSPG0472F	1	100	0	100	0.0090	100	0	0.0090	0	0.0000	0	0.0000	
MEENA GOENKA	ADXP1675M	1	200	0	200	0.0181	200	0	0.0181	0	0.0000	0	0.0000	
R S GOENKA	AEGPG8285B	1	110	0	110	0.0099	110	0	0.0099	0	0.0000	0	0.0000	
RATNI DEVI GOENKA	AEGPG2092E	1	100	0	100	0.0090	100	0	0.0090	0	0.0000	0	0.0000	
SAROJ GOENKA	ADXP1677K	1	100	0	100	0.0090	100	0	0.0090	0	0.0000	0	0.0000	
SUSHIL KUMAR GOENKA	AHNP1610Q	1	100	0	100	0.0090	100	0	0.0090	0	0.0000	0	0.0000	
SURESH KUMAR GOENKA	AHNP1611R	1	63200	0	63200	5.7164	63200	0	5.7164	0	0.0000	0	0.0000	
RAJ KUMAR GOENKA	AEAPG9489H	1	18100	0	18100	1.6371	18100	0	1.6371	0	0.0000	0	0.0000	
ASHISH GOENKA	AHAPG8553F	1	35000	0	35000	3.1657	35000	0	3.1657	0	0.0000	0	0.0000	
DHIRAJ AGARWAL	ACZPA8018P	1	10	0	10	0.0009	10	0	0.0009	0	0.0000	0	0.0000	
GOENKA TRADING CO	AAABHG6131K	1	11500	0	11500	1.0402	11500	0	1.0402	0	0.0000	0	0.0000	
Central Government/State Government(s)														
(c) Financial Institutions/Banks														
(d) Any Other (specify)														
Bodies Corporate		2	11000	0	11000	0.9949	11000	0	0.9949	0	0.0000	0	0.0000	
BHANU VYAPAAR PRIVATE LIMITED	AABCB1334Q	1	8800	0	8800	0.7960	8800	0	0.7960	0	0.0000	0	0.0000	
EMAMI ENCLAVE MAKERS PRIVATE LIMITED	AAABCE8702H	1	2200	0	2200	0.1990	2200	0	0.1990	0	0.0000	0	0.0000	
Sub Total		29	211820	0	211820	19.1589	211820	0	19.1589	0	0.0000	0	0.0000	





PREMIER FERRO ALLOYS & SECURITIES LIMITED													
Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2016													
Category & Name of the Shareholders (I)	PAN	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Total no. of shares held (VII)=(IV)+(V)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C 2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares underlying outstanding and converting convertible securities (as a percentage of diluted share warrants) (X)	Shareholding as a % of total shares held (VI)+(X) as a % of A+B+C 2	Number of Locked in shares (XII)	Number of equity shares held in dematerialized form (XIV)
							Class	Class	Total				
(2) Foreign													
(a) Individuals (Non-Resident Individuals/Foreign Individuals)													
(b) Government													
(c) Institutions													
(d) Foreign Portfolio Investor													
(e) Any Other (specify)													
Sub Total		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0
Total Shareholding of Promoter and Promoter Group (A)=(1)+(A)(2)		29	211820	0	0	19.1589	211820	0	0	19.1589	0	0.0000	0



PREMIER FERRO ALLOYS & SECURITIES LIMITED

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2016

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. of shares held (VII)=(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C) (VIII)	Number of Voting Rights held in each class of securities (IX)		No of shares Underlying outstanding convertible securities (as a percent of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percent of diluted share capital) (XI)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)	
								Class	Total						
(1)															
(a)															
(b)															
(c)															
(d)															
(3)															
(a)															
i. Individual shareholders holding nominal share capital upto Rs.2 lakhs															
		24	235700	0	0	0	21.3188	235700	0	235700	21.3188	0	0.0000	NA	0
HARI MOHAN MARDA		1	100	0	0	100	0.0090	100	0	100	0.0090	0	0.0000	NA	0
JITENDRA KUMAR PATWARI		1	100	0	0	100	0.0090	100	0	100	0.0090	0	0.0000	NA	0
PRAMILA MARDA		1	100	0	0	100	0.0090	100	0	100	0.0090	0	0.0000	NA	0
SANJAY MARDA		1	100	0	0	100	0.0090	100	0	100	0.0090	0	0.0000	NA	0
SHEW RATAN MARDA		1	100	0	0	100	0.0090	100	0	100	0.0090	0	0.0000	NA	0
SUMAN PATWARI		1	100	0	0	100	0.0090	100	0	100	0.0090	0	0.0000	NA	0
SUMAN BHALOTIA		1	10000	0	0	10000	0.9045	10000	0	10000	0.9045	0	0.0000	NA	0
P S PATWARI		1	13100	0	0	13100	1.1849	13100	0	13100	1.1849	0	0.0000	NA	0
SUBHASH KUMAR KANODIA		1	20000	0	0	20000	1.8090	20000	0	20000	1.8090	0	0.0000	NA	0
KAILASH KUMAR KANODIA		1	15000	0	0	15000	1.3567	15000	0	15000	1.3567	0	0.0000	NA	0
LAXMI PRASAD		1	15000	0	0	15000	1.3567	15000	0	15000	1.3567	0	0.0000	NA	0
S NS GARG		1	7000	0	0	7000	0.6331	7000	0	7000	0.6331	0	0.0000	NA	0
MANINDRA KUMAR SEN		1	6000	0	0	6000	0.5427	6000	0	6000	0.5427	0	0.0000	NA	0
S. M. SINGHVI		1	8000	0	0	8000	0.7236	8000	0	8000	0.7236	0	0.0000	NA	0
P K SINGHVI		1	10000	0	0	10000	0.9045	10000	0	10000	0.9045	0	0.0000	NA	0
VIRENDRA KUMAR BHUWANIA		1	10000	0	0	10000	0.9045	10000	0	10000	0.9045	0	0.0000	NA	0





**PREMIER FERRO ALLOYS & SECURITIES LIMITED.**

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2016

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. of shares held (VII)=(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C) (VIII)	Number of Voting Rights held in each class of securities (IX)		No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of pledged or otherwise encumbered shares (XIII)	Number of equity shares held in dematerialized form (XIV)	
								Class	Total			(a)	(b)			
PURAN MAL AGARWAL		1	20000	0	0	20000	1.8090	20000	0	1.8090	0	0.0000	0	0.0000	0	
RAJIV GARG		1	16000	0	0	16000	1.4472	16000	0	1.4472	0	0.0000	0	0.0000	0	
MUKESH MATOLIA		1	15000	0	0	15000	1.3567	15000	0	1.3567	0	0.0000	0	0.0000	0	
CHANDRA VERMA		1	15000	0	0	15000	1.3567	15000	0	1.3567	0	0.0000	0	0.0000	0	
VINAY VERMA		1	15000	0	0	15000	1.3567	15000	0	1.3567	0	0.0000	0	0.0000	0	
MAHESH KUMAR VERMA		1	16000	0	0	16000	1.4472	16000	0	1.4472	0	0.0000	0	0.0000	0	
RAMBHAGAT CHURIWALA		1	14000	0	0	14000	1.2663	14000	0	1.2663	0	0.0000	0	0.0000	0	
BASUDEV VERMA		1	10000	0	0	10000	0.9045	10000	0	0.9045	0	0.0000	0	0.0000	0	
ii. Individual shareholders holding nominal share capital in excess of Rs 2 lakhs		3	109100	0	0	109100	9.8680	109100	0	9.8680	0	19.6119	0	0.0000	0	
BIRENDRA PASARI	AEBP2B4685D	1	40000	0	0	40000	3.6180	40000	0	3.6180	0	4.2356	0	0.0000	0	
GEETA DEVI PASARI	AUMPS3012L	1	35000	0	0	35000	3.1657	35000	0	3.1657	0	2.4255	0	0.0000	0	
P K CHURIWALA	ADNPG5246Q	1	34100	0	0	34100	3.0843	34100	0	3.0843	0	2.2590	0	0.0000	0	
(b) NBFCs registered with RBI																
(c) Employee Trusts																
(d) Overseas Depositories (holding DRs) (balancing figure)																
(e) Any Other (specify)																
Bodies Corporate		20	548976	0	0	548976	49.6543	548976	0	548976	49.4734	0	49.4734	0	0.0000	0
SAHARSH MARKETING & FINANCE PRIVATE LIMITED		1	2000	0	0	2000	0.1809	2000	0	2000	0.1809	0	0.1809	0	0.0000	0
WINY COMMERCIAL & FISCAL SERVICES PRIVATE LIMITED		1	2000	0	0	2000	0.1809	2000	0	2000	0.1809	0	0.1809	0	0.0000	0





**PREMIER FERRO ALLOYS & SECURITIES LIMITED**

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2016.

Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. of shares held (VII)=(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C) (VIII)	Number of Voting Rights held in each class of securities (IX)		No of shares lying outside of convertible securities (including Warrants) (X)	Shareholding as % of fully convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								Class	Total			No. (a)	As a % of total shares held (b)		
SCINTILLA COMMERCIAL & CREDIT PRIVATE LIMITED		1	2000	0	0	2000	0.1809	0	2000	0	0.1809	0	0.0000	NA	0
MARDA COMM. & HOLDINGS PRIVATE LIMITED		1	2000	0	0	2000	0.1809	0	2000	0	0.1809	0	0.0000	NA	0
WINY COMM. & FISCAL SERVICES PRIVATE LIMITED		1	2000	0	0	2000	0.1809	0	2000	0	0.1809	0	0.0000	NA	0
J.M.B COMM. & CREDIT PRIVATE LIMITED		1	2000	0	0	2000	0.1809	0	2000	0	0.1809	0	0.0000	NA	0
NAKAMICHI INVESTMENTS LIMITED		1	2000	0	0	2000	0.1809	0	2000	0	0.1809	0	0.0000	NA	0
SNIGDH HOLDINGS PRIVATE LIMITED		1	2000	0	0	2000	0.1809	0	2000	0	0.1809	0	0.0000	NA	0
NAVJUN MARKETINGS PRIVATE LIMITED		1	2000	0	0	2000	0.1809	0	2000	0	0.1809	0	0.0000	NA	0
SANCHI BUSINESS & FINANCE PRIVATE LIMITED		1	2000	0	0	2000	0.1809	0	2000	0	0.1809	0	0.0000	NA	0
NAMASKAR FASHIONS PRIVATE LIMITED		1	75000	0	0	75000	6.78367	0	75000	6.7837	0	0.0000	NA	0	
JAYA MERCHANTILES PRIVATE LIMITED		1	50000	0	0	50000	4.52245	0	50000	4.5224	0	0.0000	NA	0	
BONUS RETAILS PRIVATE LIMITED		1	49369	0	0	49369	4.46537	0	49369	4.4654	0	0.0000	NA	0	
SRIPATI BUILDERS PRIVATE LIMITED		1	52100	0	0	52100	4.71239	0	52100	4.7124	0	0.0000	NA	0	
KISHALAY AGENCIES PRIVATE LIMITED		1	48550	0	0	48550	4.3913	0	48550	4.3913	0	0.0000	NA	0	
HAVEN TRADELINKS PRIVATE LIMITED		1	51344	0	0	51344	4.64401	0	51344	4.6440	0	0.0000	NA	0	
MAYANK SECURITIES PRIVATE LIMITED		1	53344	0	0	53344	4.82481	0	53344	4.8249	0	0.0000	NA	0	
SURUCHI SUPPLIERS PRIVATE LIMITED		1	48000	0	0	48000	4.34155	0	48000	4.3416	0	0.0000	NA	0	
MANGALKRIPA COMMODITIES PRIVATE LIMITED		1	51569	0	0	51569	4.66436	0	51569	4.6644	0	0.0000	NA	0	
INNOVA COMMERCIAL PRIVATE LIMITED		1	49700	0	0	49700	4.49531	0	49700	4.4953	0	0.0000	NA	0	
Sub Total		47	893776	0	0	893776	80.6601	0	893776	80.6601	0	0.0000	NA	0	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		47	893776	0	0	893776	853776	2670590	0	2670590	80.6601	0	0.0000	NA	0



PREMIER FERRO ALLOYS & SECURITIES LIMITED																
Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2016																
Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (II)	No. of fully paid up equity shares held (IV)		Partly paid up equity shares held (V)		Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)		Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)	
			Class x	Class y	Total	No. (a)				As a % of total shares held (b)	No. (Not applicable) (a)					As a % of total shares held (Not applicable) (b)
(1) Custodian / DR Holder																
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																
Total Non Promoter - Non Public Shareholding (C)=(C1)+(C2)		0	0	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	0

